

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	DIEP, PHONG, et al	Examiner: TBA
Serial No:	TBA	Group Art Unit: TBA
Filed:	Herewith	
For:	DEVICES AND METHODS FOR RAMAN AMPLIFICATION AND DISPERSION COMPENSATION	

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 9/9/03

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on	
<u>9/9/03</u>	Date of Deposit
Svetlana Z. Short	
Name of applicant, assignee, or Registered Representative	
<i>Svetlana Z. Short</i>	Signature
<u>9/9/03</u>	Date of Signature

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP02-219	TBA
	APPLICANT DIEP, PHONG, et al.	
	FILING DATE HEREWITH	GROUP: TBA

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,799,123	8/25/98	Oyobe et al.	385	124	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL	EP 1 083 446 A1	3/14/01	Europe	G02B	6/10	
	AM	EP 1 195 627 A2	4/10/02	Europe	G02B	6/22	
	AN	WO 02/19576	3/7/02	PCT	H04B	10/18	
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AR	Antos, et al. "Design and Characterization of Dispersion Compensating Fiber Based on the LP <sub>01</sub> Mode", Journal of Lightwave Technology, Vol. 12, No. 10, October 1994, pg. 1739-1745	
AS		
AV		
AW		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.